



# 11C(N.E)  
7-16-03

RESPONSE UNDER 37 C.F.R. §1.116  
BOX AF  
EXPEDITED PROCEDURE  
EXAMINING GROUP 3628

Docket No.: 1075.1123

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Satoshi MUKOGAWA

Serial No.: 09/447,658

Group Art Unit: 3628

Confirmation No.: 4075

Filed: November 23, 1999

**RECEIVED**  
JUL 1 2003

Examiner: Nga B. Nguyen

For: AUTOMATED FINANCIAL TRANSACTION SYSTEM

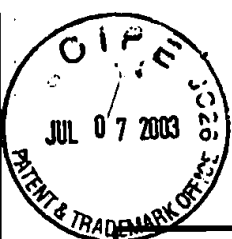
**GROUP 3600**

**RESPONSE AND REQUEST FOR RECONSIDERATION**  
**UNDER 37 C.F.R. 1.116**  
**- EXPEDITED PROCEDURE -**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

This is in response to the Office Action mailed April 4, 2003, and having a period for response set to expire on July 4, 2003. The following amendments and remarks are respectfully submitted.



AF/3628

S&H Form: (01/03)

|  |                      |                   |               |
|--|----------------------|-------------------|---------------|
| <b>REPLY/AMENDMENT<br/>FEE TRANSMITTAL</b> | Attorney Docket No.  | 1075.1123         |               |
|  | Application Number   | 09/447,658        |               |
|  | Filing Date          | November 23, 1999 |               |
|  | First Named Inventor | Satoshi MUKOGAWA  |               |
|  | Group Art Unit       | 3628              |               |
| AMOUNT ENCLOSED                            | 0.00                 | Examiner Name     | Nga B. NGUYEN |

**FEE CALCULATION (fees effective 01/01/03)**

| CLAIMS AS AMENDED  | Claims Remaining After Amendment | Highest Number Previously Paid For | Number Extra | Rate         | Calculations |
|--------------------|----------------------------------|------------------------------------|--------------|--------------|--------------|
| TOTAL CLAIMS       | 41                               | - 41 =                             | 0            | X \$ 18.00 = | \$ 0.00      |
| INDEPENDENT CLAIMS | 3                                | - 3 =                              | 0            | X \$ 84.00 = | 0.00         |

Since an Official Action set an original due date of July 4, 2003, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110); 2 months (\$410); 3 months (\$930); 4 months (\$1,450); 5 months (\$1,970)):

If Notice of Appeal is enclosed, add (\$320.00) 0.00

If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$110.00) 0.00

Information Disclosure Statement (Rule 1.17(p)) (\$180.00)

Total of above Calculations = \$ 0.00

Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)

TOTAL FEES DUE = \$ 0.00

(1) If entry (1) is less than entry (2), entry (3) is "0".

(2) If entry (2) is less than 20, change entry (2) to "20".

(4) If entry (4) is less than entry (5), entry (6) is "0".

(5) If entry (5) is less than 3, change entry (5) to "3".

**METHOD OF PAYMENT**

- ☐ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☒ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

**GENERAL AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No. 19-3935

Deposit Account Name STAAS & HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

|            |                         |          |        |
|------------|-------------------------|----------|--------|
| Typed Name | Christine Joan Gilsdorf | Reg. No. | 43,635 |
| Signature  | <i>C. Joan Gilsdorf</i> | Date     | 7/7/03 |

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